

Using Functional Neuroimaging to Refine the Diagnostic Construct of Borderline Personality Disorder

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Abstract

Borderline Personality Disorder (BPD) is a severely impairing mental disorder, characterized by affective instability, stormy interpersonal relationships, and behavioral impulsivity. Accumulating research in the past two decades has provided evidence for a neurobiological basis of mental disorders, including BPD. It has long been argued, though, that the level of clinical heterogeneity within the BPD diagnosis may be suggestive of multiple underlying neural processes and circuits. As such, the relative involvement of different sets of neural abnormalities across individuals could be informative about the possible pathophysiological mechanisms underlying different dimensions of the disorder. Task-based neuroimaging techniques, such as functional magnetic resonance imaging (fMRI), are potentially capable of teasing apart neurobiological domains of BPD by identifying the differential neural involvement of key circuits during psychological processes in relation to specific aspects of BPD symptomatology. In this paper, we review the literature on task-based fMRI in BPD with a focus on how neuroimaging studies, have revealed different neural correlates for the prominent symptom clusters (unstable interpersonal relationships, behavioral impulsivity, and emotion dysregulation) within BPD. Evidence suggests the prominence of altered neural activity in key brain networks and regions including the salience network, the default mode/theory of mind network, the central executive network, and the orbitofrontal cortex. We suggest future directions for neuroimaging and BPD research to further shed light on the pathophysiology of the disorder and the nature of the BPD diagnosis.

Keywords

Borderline personality disorder, Positron emission tomography, Functional magnetic resonance imaging (fMRI), Domains in personality disorder

Introduction

Borderline Personality Disorder (BPD) is a severe psychiatric disorder, characterized by a heterogeneous set of symptoms that result in impairment across many functional domains, including educational attainment, employment, and interpersonal relationships [1]. In addition, in part due to prominent symptoms such as suicidality and self-harm, the mortality rate for individuals with BPD is high, with an estimated suicide rate of between 5% and 10% [2-4]. Using confirmatory factor analysis of BPD symptomatology, researchers have identified three core components from which BPD symptoms are understood to relate: 1) disturbances in interpersonal relationships, 2) behavioral dysregulation and impulsivity, and 3) emotion dysregulation [5]. In addition, studies have identified unique stress-related disturbances in cognition (e.g., dissociation, mood dependent paranoia, depersonalization) in some individuals with BPD [6,

7]. A biological basis underlying BPD has long been theorized [8, 9]. In the past two decades, with the improvement of neuroimaging methods, it has been possible to produce strong evidence for a neurobiological basis for the disorder.

BPD: A Categorical or Dimensional Construct?

In the context of recent revisions of the Diagnostic Statistical Manual (DSM), there remains debate about how best to conceptualize and ultimately diagnose BPD. In DSM-III, DSM-IV, and DSM-IV-TR, a diagnosis of BPD was based on an individual meeting 5 of 9 symptom criteria across the aforementioned symptom domains [10-12]. In the process of the research, preparation, and writing of DSM-5, there was a heated debate about whether this polythetic, categorical approach was the optimal way to conceptualize BPD [13, 14]. Though DSM-5 was published in 2013, this debate is ongoing and continues to the present. Critics of the categorical approach to diagnosing personality disorders (PDs) highlight some of the prevalent problems with this method. These have been enumerated elsewhere but include: rampant comorbidity among PDs (and with common mental disorders), arbitrary symptom number cut-offs to distinguish between pathology and non-pathology, and the ubiquity of non-specific 'not otherwise specified [NOS]' diagnoses which are present across all psychiatric disorders [15].

For BPD, these critiques have been particularly widespread [16]. Opponents of the categorical diagnostic system highlight the heterogeneity in the population of patients that meet criteria for BPD. Notably, based on the historic, polythetic, 5-out-of-9 criteria for BPD, there are 256 possible symptom combinations, all of which result in a diagnosis of BPD. Still, many of these symptom patterns share so little in common (e.g., two people could overlap in only one symptom yet have the same diagnosis) as to be questionable whether the diagnosis in fact describes the same clinical phenomenon. While the flaws of this diagnostic framework pose problems for the theoretical construct of BPD and for research on the disorder, they also potentially obstruct best practices for treatment; given such heterogeneity, it is debatable whether the same treatment is optimal, across the varied clinical presentations of the disorder [17].

In response to these challenges to the BPD construct, some have argued that BPD may be an illness of multiple subtypes, as is being discussed in other major illnesses such as schizophrenia [18, 19]. Certain pharmacological studies suggest that this viewpoint may have credibility, as some classes of psychotropic medications work best in specific subtypes or symptom domains of the illness [20]. For example, one study found that in patients with BPD, aggression, but not other symptoms, improved with anticonvulsant medications [21]. Among those with BPD, a similar study found that higher trait impulsivity and state anger scores at baseline predicted a more favorable response to Divalproex (an anticonvulsant/mood stabilizer) as a treatment for impulsive aggression [22].

Despite the debate leading up to the publication of DSM-

5, the categorical approach to diagnosing PDs was maintained, relatively unaltered, in the main text of the manual. Still, an alternative model for diagnosing and understanding PDs was developed and proposed. This alternative proposal can be found in Section III of DSM-5 (for emerging measures and models), offering a dimensionally-based approach for assessing personality pathology [12, 23]. In this alternative model, a diagnosis of BPD is dependent on determining a level of personality impairment (Criterion A, the assessment of which is the same across all of the PD) and a combination of personality traits empirically shown to be associated with BPD (emotional lability, anxiousness, separation insecurity, depressivity, impulsivity, risk taking, and hostility). Taken together, these traits comprise aspects of the major personality domains -- high neuroticism, disinhibition, and antagonism -- which have been found in individuals with BPD [24, 25]. These personality traits also dovetail with the symptom domains from the categorical diagnosis of BPD, which can be found in Section II of DSM-5 and previous editions of the DSM [26]. Both the suggestion that there are sub-types within BPD and the move toward a dimensional, trait-based approach to assessing BPD call into question the notion that BPD is a unitary construct; these ideas suggest that there may be diverse components or aspects to the BPD diagnosis [27].

The Clarifying Role of Task-Based Functional Neuroimaging

Neuroimaging provides a powerful tool for examining the neural underpinnings of psychopathology, capable of shedding light on this contemporary debate in the field. Using a range of neuroimaging techniques, a growing number of studies have explored neural structural and functional differences between individuals with BPD and psychiatric or healthy control (HC) groups. In addition, studies have explored whether there might be different patterns of neural activation during tasks which tap into psychological constructs associated with specific symptoms, symptom domains, or maladaptive personality traits that comprise the BPD diagnosis. This level of inquiry uses novel techniques from neuroscience to weigh in on this long-standing tension regarding the structure of borderline personality pathology.

Varied neuroimaging methods measuring both brain structure and function, including positron emission tomography (PET), structural magnetic resonance imaging (MRI), and electroencephalography (EEG) have all been used to elucidate aspects of the neural underpinnings of BPD [28-30]. The diversity in neuroimaging techniques means that a review of the full extent of the neuroimaging and BPD literature is beyond the scope of this project. Given its utility in locating neural activity in response to specific psychological processes, this report will focus on the role that task-based functional magnetic resonance imaging (fMRI) research can play in helping to refine the conceptualization of BPD. fMRI is one of the most common neuroimaging techniques and uses blood oxygenated dependent level (BOLD) imaging to measure neural function. BOLD signal serves as an endogenous contrast, measuring the difference in magnetization between

oxy- and deoxyhaemoglobin as a proxy for brain activity [31].

The fMRI literature on BPD is often summarized by the idea that BPD is a brain-based disorder resulting from failures in bottom-up and top-down brain processes [32, 33]. This theory refers to hyper-activation in regions associated with emotion generation (driven by the limbic system in regions such as the amygdala and hippocampus) and altered prefrontal activation in regions associated with emotion regulation and cognitive control (prefrontal cortex) [34, 35]. While this is an important piece of the puzzle, we argue that such a model is reductive, and obscures the nuances and diversity within the neurobiological substrates of BPD.

Research examining large-scale neurocognitive brain networks, characterized by collections of brain regions (nodes) and the connections between them (edges), can usefully elucidate cognitive and emotional dysfunctions in psychopathology [36]. Network connectivity models, instead of studying the specialized processing occurring in specific brain regions, underscore the importance of examining the contemporaneous flow of information across distributed brain systems [37]. Focusing on the varied neural processes and networks underlying the diverse symptom domains (disturbed interpersonal relationships, behavioral impulsivity, emotion dysregulation) of BPD can offer clarity about the neurobiology of the disorder and the nature of the BPD construct more generally.

BPD Symptom Domains

Disturbed interpersonal relationships

Disturbances in interpersonal relationships in BPD have been documented broadly and are suggested to be core to the pathology [38-40]. Many clinicians find that the interpersonal style characteristic of BPD is qualitatively different, and particularly challenging to treat, relative to other psychiatric disorders [41]. Disturbances in interpersonal relationships in BPD have been recognized since the inception of the diagnosis [42, 43]. Beyond challenging therapeutic relationships, individuals with BPD have much higher rates of social dysfunction across a range of interpersonal domains [44]. As a result, the interpersonal difficulties experienced by this population can obstruct effective treatment and impede the possibility for positive social relationships.

This aspect of BPD can be found in the diagnostic criteria; among the symptoms for BPD in DSM-5 Section II is: 'A pattern of unstable and intense interpersonal relationships characterized by alternating between extremes of idealization and devaluation.' Relationship difficulties are also captured in the alternative dimensional model of BPD in DSM-5, which includes impairment in personality functioning, evidenced by failures in empathy and intimacy, as diagnostic features of Criterion A for assessing PDs [12]. Research suggests that the diagnostic criteria of unstable interpersonal relationships may be familial and moderately heritable [45, 46].

Some researchers view disturbed interpersonal relationships as central to borderline pathology, more so than other symptom domains, arguing that affective instability and behavioral

impulsivity may be non-specific features of psychopathology [47]. Furthermore, disturbed interpersonal relationships may be the best discriminator of a BPD diagnosis, relative to the other symptom domains [38, 39]. Beyond the importance of this criteria to assessment, diagnosis, and treatment of BPD, problematic interpersonal relationships serve to exacerbate certain of the other dimensions of the disorder (e.g., high interpersonal reactivity during childhood and adolescence might influence parenting that in turn aggravates borderline symptoms related to emotion dysregulation or behavioral impulsivity).

It has been suggested that the observable disturbances in interpersonal relationships in BPD may in fact be attributable to underlying deficits in aspects of social cognition in this population. Human social cognition refers to the ability to accurately perceive and process conscious and unconscious social signals [48]. Functional impairment in interpersonal relationships in individuals with BPD may be related to fundamental deficits in processing social information [49]. Much of the research on social cognition in BPD has focused on two aspects: impaired theory of mind (ToM) and altered emotion sensitivity and recognition. ToM, which has been alternatively called cognitive empathy or mentalizing [50], refers to the ability to recognize and differentiate the mental states (intentions, wishes, desires) that belong to oneself and those that belong to others. Within the clinical literature on BPD, the term mentalizing has gained traction, largely because of its relevance to BPD treatment (discussed below), but the terms (ToM, mentalizing, cognitive empathy) are often used interchangeably. Using functional neuroimaging, a growing number of studies have explored the neural activation associated with these aspects of social cognition (ToM, emotion sensitivity and recognition) and have found evidence for altered neural patterns in individuals with BPD, providing a possible explanation for the observable functional impairments.

ToM and Mentalization in BPD

Fonagy and Bateman [51], prominent theorists on the developmental etiology and processes of BPD, argue that failure to develop the capacity to mentalize is central to the onset of BPD symptomatology. In the context of insecure early attachment relationships, stress, trauma, or malevolence impede a child's ability to mentalize. In particular, failure on the part of the caretaker during early years to mirror the mental and emotional states of the child inhibits the child's ability to recognize and make sense of their own mental states and the mental states of others. Bateman and Fonagy argue that this early failure to reach the social cognition milestone serves as the underlying factor for BPD symptomatology. Linehan (1993) [52] posits a similar idea, namely that invalidation of early emotions and experiences creates a situation in which the child (and then adult) turns to others in order to define the child's internal reality. This impairs the child's ability to identify the child's own inner states and to differentiate the child's inner states from the inner states of other people. Though these theories offer different etiologies, they seek to explain the phenomenon of failures of ToM in BPD.

Bateman and Fonagy [53] have developed one of a small

number of effective evidence based treatments (EBTs) for BPD, mentalization based therapy (MBT), which directly intervenes to treat the mentalization deficits in individuals with BPD. In the treatment, the therapist works to help the patient develop a stable sense of self while also helping the patient learn the difference between the patient's own thoughts and feelings and the thoughts and feelings of others (in particular the therapist's). Evidence suggests that this form of therapy may be more effective at reducing suicidality, self-harm, self-reported symptoms, and self-reported social and adjustment problems, relative to a structured protocol for treating BPD [54].

Researchers exploring the exact nature of the mentalizing deficits in BPD have debated the details. Some researchers find evidence for a phenomenon referred to as "hypermentalizing," in which mentalizing errors are believed to result from the over-interpretation and attribution of mental states or intentions of others [55, 56]. Others suggest that patients suffer from an inability to mentalize [57]. The behavioral evidence supporting altered mentalizing and ToM in BPD has been mixed, as well. Some studies have found that individuals with BPD in fact have an increased ability to recognize the affective states of others, whereas other studies have found a reduced capacity or no difference between individuals with BPD relative to HCs [58, 59].

Neural Networks, ToM, and BPD

While clinical evidence, as well as mixed results from behavioral research, have suggested that altered ToM may play a role in the development and maintenance of BPD, a small but growing area of neuroimaging research has used fMRI to identify altered neural activation associated with ToM in BPD. In the current project, we include in this section studies, which: 1) used tasks explicitly exploring ToM/mentalizing/cognitive empathy, 2) used tasks assessing self-other representation, and 3) used tasks that involved social interactions requiring ToM (e.g., cooperation, exclusion).

Across the studies explored below, evidence suggests that alterations in brain regions associated with social cognition (superior temporal sulcus [STS], temporoparietal junction [TPJ]) are prevalent in BPD. Failures in ToM in BPD are also associated with problems developing a stable sense of self [60]; altered neural processing in regions associated with self-referential processing (medial prefrontal cortex [PFC]) have been found, providing neural evidence for this clinical phenomenon. Lastly, studies explicitly eliciting behavior in complicated social interactions (e.g., cooperation, exclusion) seem to suggest a combination of altered processing in regions associated with understanding other people's intentions as well as self-referential processing. Taken together, these studies suggest a network of brain regions underlying the clinical phenomena associated with failures in ToM in BPD.

Developing tasks that can be administered during fMRI to capture the subtle components of ToM can be challenging and has involved creativity in task construction [61]. In a study examining the neural correlates of cognitive empathy in a dimensionally assessed sample of BPD, participants

completed an emotional-perspective taking task, while undergoing fMRI. During this task, participants were asked to determine which of two emotional faces displayed belonged in a portrayed social interaction, in which one of the individuals in the interaction had a blanked out face. Prior use of this task has shown it to be effective at activating brain regions associated with cognitive empathy. In this study, Haas and Miller [62] found that higher BPD trait scores were associated with hypoactivation in the superior temporal sulcus (STS) and the temporoparietal junction (TPJ). Both the STS and TPJ have long been understood to play central roles in ToM, and in particular, developing a working understanding of other people's intentions [61, 63]. The STS is a central neural hub for social cognition processing, key for understanding other's actions and intentions, as well as perceiving human faces and motion [64].

Positing that ToM could be sub-divided into multiple components, Mier et al. [49] administered a task to a sample of individuals with BPD and HCs to assess different aspects of ToM: 1) neutral face processing, 2) emotion recognition, and 3) understanding emotional intent. In this task, participants were shown a statement about an emotional intention, an emotional state, or a physical feature of a depicted person [65]. Next, participants were shown emotional (angry, joyous, fearful) face stimuli (during the affective runs of the task), or neutral face stimuli (during the neutral face-processing runs of the task). Participants were asked to select whether the presented statements matched the picture displayed. Though this study did not find behavioral group differences during task performance, the researchers found relative hypoactivation in the BPD group in areas of the mirror neuron system (MNS; BA44) across all levels of the task, and hypoactivation in the right STS during the portion of the task in which participants had to correctly recognize the emotional intention. Mirror neurons, which are hypothesized to be part of the visuomotor system, simulate one's own motor representation, when witnessing other people conduct actions and are important for understanding the movement actions of others [66, 67]. The MNS provides humans a key tool for understanding and relating to the behavior of other people. Failure to accurately model and represent other people's behaviors may help explain functional difficulties in BPD [68].

Dziobek and colleagues [69] assessed empathy in a sample of BPD. They defined empathy as consisting of two components: cognitive empathy (largely synonymous with ToM), and emotional empathy, which involves having an appropriate emotional reaction to other people (and is more akin to emotion regulation, which will be discussed below in the current review). Participants in this study completed the Multifaceted Empathy Test (MET) [70]. In this task, participants were shown emotionally charged photographs of people in various situations. Participants were first asked to infer the mental states of the depicted individuals, to assess a participant's level of cognitive empathy (ToM). Next participants were asked to rate "How much are you feeling for the person?" to tap into the construct of emotional empathy, or the degree that a person is able to feel the appropriate emotional reaction for another person [71]. During the cognitive empathy task trials, the researchers found greater

changes in BOLD signal in the STS and superior temporal gyrus (STG) in the HCs relative to the BPD group. Within the BPD group, activation in this region was negatively correlated with intrusive memories; that is, increased activation in this region of interest (ROI) within the borderline group was associated with reduced intrusive symptoms. Intrusive memories are inherently inward-focused about an individual's previous life experiences. The reduced activation in the STS suggests that individuals who are high in intrusive memory symptoms are failing to activate a key brain regions associated with understanding and processing other people's behavior.

O'Neill and colleagues [72] examined neural functional connectivity during a ToM task in a sample of 17 individuals with BPD and 19 HCs. In the task, participants had to rate whether or not they understood or did not understand humorous cartoons and non-humorous pictures (consisting of an incongruity that could not be meaningfully interpreted). There were two types of humorous cartoons: 1) cartoons that consisted of visual puns and 2) cartoons that consisted of jokes that required mentalizing. Mentalizing humor relies on recognizing that one of the characters in the cartoon has a false belief. There is evidence that cartoons engaging ToM elicit increased activation in regions associated with ToM (e.g., TPJ, mPFC) [73]. The researchers examined functional coupling during the time course of the mentalizing trials using the subgenual ACC as a seed region (for an emotion brain network), which has shown altered activation in BPD in other studies [70, 74]. During the ToM condition, O'Neill and colleagues found decreased functional connectivity in the participants with BPD relative to the HCs between the ACC and the left STS, right mid-cingulate cortex, and right TPJ. Previous research has suggested that the right TPJ, in particular, is involved in tasks associated with mentalizing [63, 75, 76]. The decreased coupling of ToM brain regions and the affective brain region (seeded by the ACC) suggests that there is reduced engagement and modulation from affective regions during ToM tasks. The authors argue that this dysconnectivity may support the affective dysregulation which is common during ToM in BPD. It is possible that such dysconnectivity may also explain the phenomenon of hypermentalizing, in which individuals with BPD over-interpret and emotionally engage with the thoughts and beliefs of others.

In addition to impacting interpersonal relationships, failures in ToM are understood to relate to difficulties that individuals with BPD have developing a stable sense of self, leading to identity disturbances and self-loathing [77]. These difficulties comprise one of the symptom criteria, found in section II of DSM-5: "Identity disturbance: markedly and persistently unstable self-image or sense of self [12]." To test the neural correlates of this clinical phenomenon, Beeny and colleagues [78] conducted a study examining self-report measures of self-representation with an fMRI task designed to tap into self- and other-reflection. In the task, participants were 1) asked to evaluate themselves on a certain trait, 2) asked how a close friend would evaluate them on that trait, and 3) asked how that close friend would evaluate themselves on the trait. Individuals with BPD showed altered neural activation, relative to the HCs, across all three conditions of the task, regardless of whether they were evaluating themselves or

imagining a friend doing the evaluating. The researchers found hyperactivation in the BPD group in the mPFC, TPJ, rostral and dorsal anterior cingulate cortex (rACC and dACC) and precuneus. Greater activation in these regions was mediated by poorer consistency on self-other representation (as measured by personality self-report). Interestingly, when thinking and evaluating themselves, the participants with BPD show increased activation in the TPJ, a key region for understanding other people's actions. This finding is in line with a recent study, which found greater activation in the TPJ and mPFC in individuals with high (compared to low) social anxiety, when they were instructed to direct their attention inward [79]. Increased self-focused attention in social anxiety is correlated with negative self-evaluation, anxiety, and arousal [80].

Finally, two studies assessed neural correlates of behavioral outcomes that likely result from failures of ToM in BPD, using tasks that simulated social interactions. Using an approach from game theory, one study examined individuals with BPD during interpersonal interactions in which the goal was to maintain cooperative relationships with another person [81]. Participants were instructed to conduct investments in a dyadic relationship, where one person was the investor (a HC) and the other was the trustee (either a HC or a participant with BPD). In order to maintain a cooperative relationship, the trustee was expected to repay the investor at least as much as they had invested (if the money had profited). The study found that the trustees with BPD struggled to maintain cooperation with their investor (that is, they would not return the requisite sum of money necessary to maintain a working relationship). When cooperation broke down, the trustees with BPD were unable to repair the relationship through coaxing, an effective method of regaining cooperation (by making increasingly more generous offers). In addition to the behavioral differences, this study also found reduced activation in the bilateral anterior insula when individuals with BPD were receiving small offers from their partners relative to the trustees that were HCs. The authors note the important role that insula plays in encoding and responding to social norm violations, perhaps due to its role in processing affective states such as disgust and guilt [82, 83]. These findings suggest that individuals with BPD have an inability to model and predict the interpersonal behaviors of their social partners, which inhibits their ability to build and maintain cooperative relationships.

In another study, researchers used the "Cyberball" task to simulate social exclusion in BPD, in order to examine the neural correlates of this socially distressing phenomenon. In this task, subjects play a ball toss game with two virtual players. In the version of the task used by Domsalla and colleagues [84], there were three conditions: an exclusion condition, in which the subject was purposely ostracized and not passed the ball; an inclusion condition, in which each player received the ball an equal number of times; and a control condition, in which ball passing and the order of passing was determined based on a preset rule, and therefore could not be attributed to the intentions of the other players. Behaviorally, the researchers found that individuals with BPD experienced higher levels of self-reported exclusion relative to the HCs, during both the inclusion and control conditions. On a neural level, the researchers found: higher activation in the dACC across all

conditions in the BPD group relative to the HCs; higher activation in the middle temporal gyrus (MTG) and insula in BPD relative to HCs during the exclusion condition; higher activation in the precuneus and dorsomedial PFC (dmPFC) during inclusion. These findings suggest not only increased sensitivity to exclusion, but also an inability to identify when exclusion is actually occurring. Furthermore, higher activation in the mPFC and precuneus during inclusion highlight the increased activation in regions associated with self-referential processing in BPD, even in situations where the intention of the other person is to be inclusive.

Taken together, the findings from the ToM literature in BPD present evidence of alterations across a set of brain regions comprising a brain network involved in the tasks of understanding and relating to oneself and to others. Certain of the regions (e.g., mPFC, precuneus) found to have different patterns of activation in the BPD group relative to HCs are key nodes in the default mode network (DMN; See Figure 1), the network of brain regions understood to engage in stimulus-independent thought about one's past and future, and other people's minds [85, 86]. Additionally, regions showing altered activation, such as the STS and TPJ, are understood to provide important inputs to the DMN about representations of other people's actions and intentions [87–89]. Mitchell and colleagues [90] argue that people often use knowledge of themselves to understand other people, explaining findings showing a role for the mPFC in both self-referential and mentalizing processes. Additional evidence suggests that the mPFC plays an equally important role in understanding others as understanding the self [91].

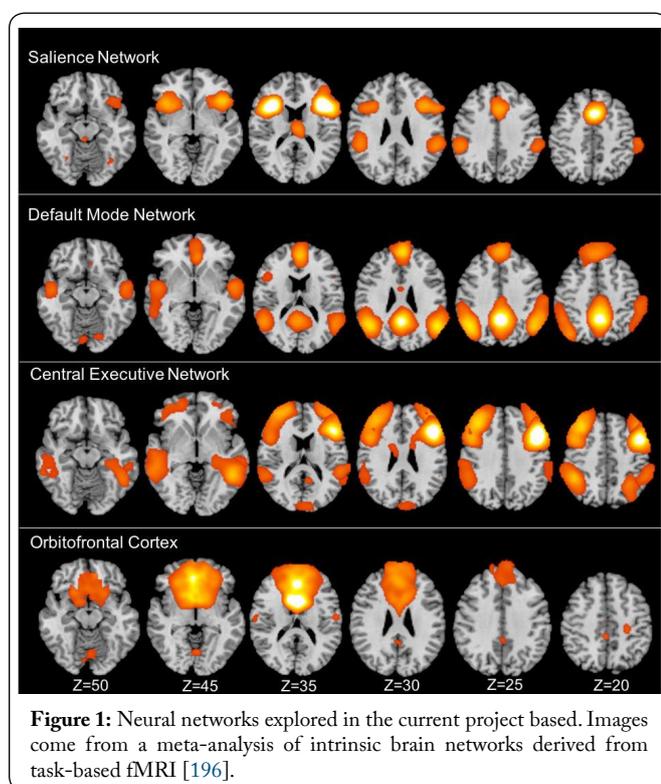
E-Network), involved in self-relatedness, and including the mPFC, precuneus, TPJ, and temporal poles. Suggestion of such a network provides a unifying framework for many of the brain regions which show alterations in both self- and-other processing in BPD. These findings possibly point to a shared vulnerability for two symptoms of BPD (unstable sense of self, unstable interpersonal relationships). Evidence from the BPD literature suggests that other symptoms, such as dissociation, may also be related to functional connectivity in the DMN [92, 93]. These findings suggest that further exploring these networks (e.g., the DMN, MNS, or E-network) may provide novel avenues for understanding multiple symptoms in BPD. Additionally, the finding from O'Neill and colleagues, suggests that ToM-related brain activation may be negatively correlated with brain activation in regions associated with emotion processing and regulation (e.g., the ACC). As such, understanding the interplay between the ToM brain regions (STS, TPJ) and networks, such as the DMN, with brain networks primarily involved in emotion processes, may provide a meaningful inter-network connectivity worth exploring further in BPD symptomatology.

Emotion Sensitivity and Recognition in BPD

Emotion sensitivity refers to the threshold at which a person is able to detect emotional stimuli, while emotion recognition refers to the ability to accurately identify the emotion of detected emotional stimuli [94, 95]. As some of the aforementioned studies on ToM have suggested [49], emotion sensitivity and recognition are often studied as a part of, or in tandem with, studies of ToM. But in the context of BPD, in which altered emotional processing plays such a prominent role in the clinical presentation of the disorder, emotion sensitivity and recognition are typically studied and assessed as separate constructs from ToM. The ability to correctly identify the emotions of others is necessary to guide appropriate behavioral responses. In BPD, failure to accurately interpret one's own, as well as other people's emotions (manifested as the clinical phenomenon known as alexithymia), appears to play a prominent role in impaired social functioning (and emotion dysregulation) [96].

While they are seemingly overlapping constructs, emotion sensitivity and recognition are conceptually different than emotion dysregulation, which is widely characterized as central to BPD pathology. Emotion sensitivity and recognition are more basic cognitive processes that serve as a necessary building blocks for appropriate social interactions and for developing the capacity to regulate emotions [97]. Emotion regulation, on the other hand, for the sake of this project, refers specifically to the various methods and strategies that people use to modulate their emotion experience [98, 99].

Behavioral studies of BPD have found evidence for impaired emotion sensitivity/recognition. A series of studies have identified group differences in accuracy in identifying emotions between individuals with BPD and HCs, though the results have been contradictory [95]. Some studies have found that individuals with BPD more accurately recognize emotions (or can correctly identify an emotion sooner) relative to HCs [100, 101] whereas other studies have found that individuals



Legrand and Ruby [91] provide evidence for an even broader neural network (which they refer to as the

with BPD have impaired ability to identify emotions, relative to HCs [102, 103]. Wagner and Linehan [101] found that individuals with BPD, when asked to verbally identify an emotion (vs. select from options), were more accurate at identifying fear emotions and showed a bias of identifying fear emotions even when the emotion was neutral.

Neural Networks, Emotion Recognition & Sensitivity, and BPD

Despite the contradictory behavioral findings, by far the largest body of functional, task-based neuroimaging literature on BPD has used tasks designed to tap into features of emotion sensitivity. Recent years have seen multiple review papers [29, 95, 104] and a meta-analysis on this topic [74]. For the current project, we examine the findings from the recent reviews of emotion sensitivity. In addition, we include an analysis of additional fMRI studies on emotional sensitivity, which were not included in these previous reviews.

Van Zutphen and colleagues [104] conducted a review of emotion sensitivity, regulation, and impulsivity in BPD. In their exploration of emotion sensitivity, they identified ten studies explicitly exploring emotion sensitivity, using two types of stimuli: emotional faces and emotional scenes. They additionally identified one study on emotion recognition, which will be interpreted separately, below. The two studies that use emotional facial expressions as the stimuli, found increased amygdala and anterior cingulate cortex (ACC) activity in the BPD samples relative to HCs [105, 106]. Both of these studies used region of interest (ROI) approaches for the amygdala, and Minzenberg et al. [106] used an ROI approach for the ACC as well. As such, these studies confirmed their *a priori* hypothesis about increased amygdala activation in BPD during emotion processing.

In a similar set of studies, Cullen and colleagues [107, 108] used a task in which participants saw emotional faces masked (that is, the emotion was displayed so quickly as to be imperceptible) and overt (where the participants could consciously perceive the emotional stimuli). They found increased amygdala activation during passive, overt emotional face viewing, but not when the faces were masked. Cullen et al. [108] used a method for assessing task-based functional connectivity called psychophysiological interactions (PPI), in order to determine whether there were group differences in amygdala patterns of co-activation with other brain regions over the course of the task. They found increased functional connectivity between the amygdala and the rostral ACC during overt fear processing and increased amygdala-subcortical connectivity during masked fear processing. These findings suggest that there may be different pathways for emotion processing depending on whether or not the stimuli are consciously perceived. To date, fewer studies of BPD have reported on task-based functional connectivity. This adds important information about potentially meaningful differences not only in task-based brain activation, but also in the ways that brain regions co-activate and modulate each other over the course of psychological processes.

Van Zutphen and colleagues [104] identified eight studies which used tasks in which the stimuli were emotional scenes. They found increased amygdala activation in four of the studies and increased insula activation in two of the studies. Findings for the ACC were mixed, with some studies reporting increased ACC activation in the BPD samples to emotional scenes and other studies finding reduced ACC activation to emotional scenes [109, 110]. The amygdala, ACC, and insula, are understood to be key neural nodes for emotion processing [111, 112]. Across the studies included (emotional scenes and faces), Van Zutphen and colleagues [104] determined that the most consistent finding was of increased amygdala activation in the BPD groups relative to HCs.

Additionally, Van Zutphen et al. [104] identified a broader network of regions showing altered activation during emotion processing in BPD. Three studies found decreased activation in regions hypothesized to be involved in emotion regulation and cognitive control, including the ventrolateral prefrontal cortex (vlPFC), dorsolateral prefrontal cortex (dlPFC), ACC, and dorsomedial prefrontal cortex (dmPFC) [109, 110, 113]. These findings suggest that, despite using tasks designed to access emotion sensitivity, activation associated with emotion regulation is likely seen as well. This highlights the difficulty of trying to study many of these overlapping constructs, particularly given the relatively low temporal resolution of fMRI, which makes it difficult to separate out processes that may be happening rapidly in succession [114].

To our knowledge, only one study has explicitly examined brain activation associated with explicit emotion recognition during fMRI in BPD. In a small sample (N=10) of individuals with BPD, Guitart-Masip and colleagues [115] compared emotion discrimination to a same-sized sample of HCs. Participants were instructed to identify which of two displayed faces expressed an emotion (where one of the faces displayed was neutral). The researchers found that behaviorally, the patients with BPD were less accurate at discriminating negative faces, particularly disgust and fear, and they showed higher posterior temporal cortex activation than HCs. The researchers did not find group differences in amygdala activation. Hyperactivation in the temporal cortex in BPD seems to contradict the earlier studies of ToM (which generally found relatively decreased activation in this region in BPD). It may be that, when asked to explicitly distinguish between two emotions, individuals with BPD compensate more in the face of a greater challenge, and therefore we see increased temporal activation. However, conclusions are limited given the small sample size of the study. More studies are needed to better characterize brain activation during emotion recognition in this population, given the potential impact that errors in recognition may have on social interactions.

Ruocco and colleagues [74] conducted a meta-analysis of fMRI studies in BPD which contrasted negative emotion processing compared to neutral emotion processing. They utilized a coordinate-based meta-analytic method called activation likelihood estimation (ALE), which aims to determine commonly activated regions across a wide number of studies [116, 117]. In the context of fMRI research in BPD, this method is particularly valuable because it can help

overcome the ubiquitously small sample sizes, and therefore low reliability of findings, in this research area [118]. By pooling data across multiple samples, it is possible to identify robust findings that are consistently activated across studies (and among larger numbers of participants). Ruocco and colleagues found increased activation in the BPD subjects in the insula, inferior frontal gyrus (IFG), and posterior cingulate, during negative emotion processing and reduced activation in the dlPFC, subgenual and dorsal ACC, amygdala, and superior temporal gyrus, relative to HCs.

The insula plays an important role in translating interoceptive information into the experience of emotions, especially disgust [119, 120]. Additionally, the insula is considered the hub of the salience network (SN; See Figure 1) along with the dACC, amygdala, ventral striatum, and substantia nigra/ventral tegmental area. The primary job of the salience network is to detect and determine salient information from bottom-up processes (e.g., the limbic system) and communicate with regions involved in top-down control [121, 122]. It has been suggested that, by attributing emotional importance to a greater number of stimuli, hyperactivation of the SN may play an important role in anxiety and neuroticism [36].

Reduced activation in the BPD group relative to the HCs in the vlPFC and dlPFC (found in both the meta-analysis by Ruocco and colleagues and the review by van Zutphen and colleagues) may indicate reduced involvement of the central executive network (CEN; See Figure 1). The CEN (also known as the left and right lateralized frontoparietal networks), anchored in the dlPFC and posterior parietal cortex and including the dorsomedial frontal/pre-supplementary motor area and vlPFC, is involved in cognitive control during demanding tasks, manipulating information in working memory, and behavioral regulation [122, 123]. It is believed that the CEN network operates behaviorally on identified salience, weighing choices for high level decision making, response selection, and response suppression. These findings suggest a heightened regulatory response to emotions in HCs, even in the absence of an explicit emotion regulation probe. This suggests that automatic emotion regulation upon processing emotional stimuli may be more readily accessible in HCs.

A surprising finding in the meta-analysis by Ruocco is reduced amygdala activation across BPD studies, relative to HCs. van Zutphen and colleagues [104] highlighted the inconsistencies in the findings about the amygdala, as well, noting that some studies found that individuals with BPD have increased amygdala activation during emotion processing, whereas others found reduced amygdala activation. Both research groups noted the difficulty of scanning the amygdala using fMRI, given its proneness to signal dropout and image distortion (because of its location in the brain) [124]. Other potential sources of these inconsistent findings have been suggested, including differences in habituation to emotional stimuli, which have been noted in individuals with BPD [125, 126]. Differences in amygdala habituation to fearful stimuli may be a more reliable neural marker than amygdala activation, which may be more variable across an entire task

[127, 128]. van Zutphen additionally argues that differences in levels of dissociation in individuals with BPD, which is often not assessed in fMRI studies using emotion processing tasks, may account for differences in amygdala activation – that is, dissociation may disrupt emotion processing and amygdala activation [129]. These findings, therefore, suggest that more research is necessary to clarify the role of the amygdala and to better explain the inconsistency in results. Yet beyond the amygdala, which has received the bulk of attention in the fMRI and BPD literature, other regions and networks (e.g., the insula as part of the SN, the CEN), may be broader neural systems deserving greater attention for studying emotion processing in BPD.

Behavioral Dysregulation and Impulsivity

Behavioral impulsivity is explicitly found in DSM-5 Section II of BPD criterion 4: “Impulsivity in at least two areas that are potentially self-damaging (e.g., spending, sex, substance abuse, reckless driving, binge eating) [12], but it is also associated with criterion 5, “recurrent suicidal behavior, gestures, or threats, or self-mutilating behavior” [12]. Impulsivity is considered a core feature of BPD [130] and is associated with a more severe course of the disorder and higher rates of suicidal behavior [2].

Many of the behaviors (e.g., substance use, sexual impulsivity) that individuals with BPD engage in are risky and dangerous [131]; as such, behavioral impulsivity often becomes the number one priority in treatment. In dialectical behavioral therapy (DBT), for example, treatment of life-threatening behaviors (suicide and self-harm) precedes other treatment goals [132]. Additionally, impulsive behaviors have been shown to be the strongest predictor of BPD after 7-years of follow-up [133]. Recent years have seen a growth in neuroimaging studies investigating neural correlates of impulsivity in BPD, in order to gain a better understanding of the biological underpinnings of this feature of the disorder.

Still, though impulsivity is conceptualized as a discrete symptom domain in BPD, some researchers argue that impulsivity in BPD stems from emotion dysregulation, which better characterizes the disorder [134]. Evidence supports this argument, with studies finding that while individuals with BPD endorse higher levels of impulsivity as measured by self-report, laboratory measures have yielded inconsistent and weak findings for impulsivity in emotionally neutral situations [135, 136]. From this viewpoint, impulsive behaviors may be understood as maladaptive emotion regulation strategies [137, 138] but not as a discrete dimension of the pathology.

Other studies provide evidence for the dissociation of emotion dysregulation and behavioral impulsivity in the conceptualization of the disorder. Fossati and colleagues [139] found that features of impulsivity significantly predicted borderline traits, even after statistically partialling out facets of emotion dysregulation, as measured by the Difficulties in Emotion Regulation Scale [140]. Crowell and colleagues take a developmental psychopathology approach to conceptualizing BPD. From this standpoint, they highlight the different developmental etiologies and courses for impulsivity and

emotion dysregulation, both of which ultimately contribute to BPD pathology [141]. They suggest that the behavioral component of emotion dysregulation is influenced by impulsivity, independent of emotion, and that high levels of trait impulsivity (and not emotion dysregulation) partially explains the overlap between BPD and other disorders of impulse control.

Researchers have found similarities between behavioral impulsivity (as measured by tasks) and trait impulsivity (measured through self-report) seen in BPD and in individuals with lesions in the orbitofrontal cortex (OFC) [142]. Damage to the OFC (See Figure 1) has been associated with socially inappropriate behavior, impulsivity, and emotional changes perhaps due to a bias toward immediate rewards [143, 144]. Berlin and colleagues [142] found that both individuals with BPD and those with OFC lesions differed significantly from HCs on performance in an impulsivity task and self-report measures. However, individuals with BPD were distinguished from those with OFC lesions by reporting higher subjective emotion and by a distinct personality profile (high neuroticism and introversion, low conscientiousness). Berlin and colleagues hypothesized that these differences between individuals with BPD and those with OFC lesions might be related to alterations in an additional neural circuit, associated with emotion processing, in individuals with BPD. Taken together, these studies suggest that impulsivity and emotion dysregulation are related, but nonetheless distinct, domains of BPD.

Neural Networks, Impulsivity, and BPD

Impulsivity is considered a multi-faceted construct [145]. As a result, a range of tasks are typically used to elicit the neural correlates of aspects of impulsivity. Tasks include those measuring neural activation associated with reward processing, response inhibition, delay discounting, and risk-taking – all of which aim to tap into facets of impulsivity [146]. For the current study, we surveyed the literature for studies that measured these constructs in BPD. Papers selected explored reward processing, response inhibition, and the neural activation specifically associated with impulsive behavior (e.g., self-harm). Taken together, these studies, point toward altered OFC activation across a number of studies. The implications of this will be discussed below.

Altered reward processing is consistently implicated in impulsivity-related pathology. Research has suggested that alterations in associated neural circuitry may play an important role in the development of externalizing psychopathology [147, 148]. One study compared brain activation during a reward processing task between a mixed sample of individuals with BPD and antisocial personality disorder (ASPD) and a HC group [149]. Vollm and colleagues found that while participants in the HC group showed increased activation in the lateral and medial OFC during the gain and loss conditions of the task, participants in the personality disorder (PD) group only showed lateral OFC activation in the loss condition of the task. In the PD group, activation in the OFC was negatively correlated with impulsivity scores, as measured

by on Behavioral Inhibition Scale [150] but this finding was not shown in the HC group. This study is limited by its small sample size ($n=8$), which is further confounded by the inclusion of both BPD and ASPD patients, though evidence suggests shared features of impulsivity in both disorders [151, 152]. As such, it should be interpreted cautiously and warrants replication.

While self-injury is believed to serve many functions in BPD, including relief of aversive inner states, self-punishment, and as a response to dissociation, it has additionally been viewed as a feature of behavioral impulsivity [153]. Kraus and colleagues [154] conducted an fMRI study in which participants listened to a script, read in the first person singular by a professional actor, describing a standardized stressful scenario followed by an act of self-harm. The situation involved five stages: 1) a neutral sequence, 2) a trigger situation, 3) emotional and cognitive reactions to the situation, 4) a self-injurious behavior, and 5) relaxation. The researchers found that individuals with BPD showed reduced activation of the OFC and increased activation in the dlPFC relative to HCs while imagining the emotional and cognitive reactions described in the script. During the portion of the script describing the self-injurious act, BPD patients showed decreased activation in the mid-cingulate, relative to the HCs. Evidence has suggested an important role for the OFC in response inhibition [155]. Failure to sufficiently activate this region during an emotionally stressful situation may help account for the subsequent impulsive behavior, such as self-harm, which is often seen in BPD. Still, given the nature of the task, it is important to recognize that other psychological processes (e.g., emotion processing and emotion regulation) were likely also engaged as subjects listened to the scripted situation of a stressful event followed by self-harm.

In order to directly assess the potential role that failures in response inhibition may play in BPD, Wingenfeld and colleagues [156] administered the emotional Stroop task and compared performance on the task between a sample of 20 individuals with BPD and 20 HCs while they underwent fMRI. In the emotional Stroop task, 12 words are presented (4 of each type: neutral, generally negative words, negative words specific to each participant, meaningless sequence of letters) in four colors and the participant has to correctly name the color that the word (or letters) is presented in. Stroop tasks require overcoming the prepotent response to read the word in order to say the color of the word. The emotional Stroop task was specifically developed to assess inhibition of interference related to emotional content. The HCs showed increased activation in the left ACC, right middle frontal gyrus (MFG), and right precentral gyrus during general negative compared to neutral words. Additionally, the HCs showed increased activation in left postcentral gyrus and IFG, right middle and superior temporal gyrus, right MFG, and left ACC during the individual (personally-relevant) negative compared to neutral words. The dorsal ACC has been shown to play a central role in regulation and inhibition during Stroop tasks [157]; failures to activate this region during emotional interference suggest difficulties in BPD patients to direct attention away from emotionally laden distracting information. A recent study

examining BOLD differences between BPD and HCs during response inhibition in an emotionally neutral task failed to find group differences [158]. van Eijk and colleagues interpreted these findings to mean that failures in response inhibition are seen in emotionally laden contexts, but not during emotionally neutral conditions.

Silbersweig and colleagues [159] used a linguistic go/no-go task, assessing motor inhibition, and compared neural activity between a sample of 16 patients with BPD and 14 HCs. During the task, participants were instructed to press a button after reading a word that was presented in normal font (go trial) and inhibit a button press after reading a word that appeared on the screen in italic font (no-go trial). Words used were evenly split between positive, negative, and neutral words. During the negative task conditions, the authors found reduced activation in the medial OFC in the BPD sample relative to the HCs whereas they found increased activity in the BPD sample in the lateral OFC. Additionally, they found increased amygdala, hippocampal and parahippocampal activation in the BPD sample and reduced activity in the subgenual ACC. Reduced ability to inhibit and restrain impulse expression (as measured by negatively coded trait constraint from the Multidimensional Personality Questionnaire [MPQ]) was negatively correlated with activation in the region of the medial OFC that had showed between-group activation differences. Given the emotional content of the task, the finding of increased activation of the limbic system is in line with previous studies, which have shown increased amygdala activation to negative stimuli in BPD.

Additionally, this study found a dissociation of the lateral and medial OFC, with increased activation in the lateral OFC and reduced activation in the medial OFC in the BPD group. Research using animal models of OFC lesions suggests a dissociable role of the medial and lateral OFC, where lateral OFC lesions were associated with increased impulsive choice in rats during delayed discounting whereas medial OFC lesions were associated with decreased impulsive choices. The authors argue that the medial OFC plays an important role in mediating behavioral responses to internal states whereas the lateral OFC mediates behaviors in response to sensory evaluation [160]. Silbersweig and colleagues hypothesize that an imbalance between activation in the two regions of the OFC may, in part, explain the behavioral dyscontrol in this population.

Jacob and colleagues [161] administered a similar go/no-go task three separate times, with the task preceded each time by the reading of an emotion induction story (anger, joy, neutral mood). During the go/no-go task, the participants had to press a button for every letter that appeared on the screen, except for the letter "X." The sample consisted of 17 women with BPD and 18 HCs. The authors found no group differences in behavior during the tasks, but found reduced activation in the patient group in the inferior frontal cortex (IFC) during the go/no-go task following the anger induction. The IFC, elsewhere in this report referred to as the vIPFC, is uniquely involved in cognitive suppression of responses and set-switching [162]. Motor impulsivity, as assessed by the go/no-go task, is an important dimension of impulsivity,

reflecting failure to inhibit a pre-potent response [163]. Motor impulsivity, akin to perseverance and acting on the spur of the moment [145], has been shown to be related to BPD pathology [130]. Further research is necessary to determine to what degree motor impulsivity may relate to behavioral impulsivity seen in BPD, particularly during emotion dysregulation.

Given the frequent use of emotional stimuli across many of the fMRI studies of impulsivity, it remains difficult to parse out whether there are neural markers of impulsivity in the absence of emotional content. Among the few studies that have assessed aspects of impulsivity in the absence of emotional stimuli, the fMRI evidence is mixed; Vollm and colleagues found neural differences in individuals with BPD during reward processing in the absence of emotional content, whereas van Eijk and colleagues [158] found no group differences in neural activation during response inhibition, when the task did not involve an emotional component. Reward processing and response inhibition reflect very different dimensions of the multidimensional construct of impulsivity [164, 145]. Therefore, comparing the findings from these studies is difficult. Given the ongoing debate about the differential role of impulsivity in BPD (relative to emotion dysregulation), this review suggests that more fMRI research is needed on impulsivity in BPD, to probe the neural correlates of impulsivity in the absence of emotional content. Additionally, more fMRI research could clarify whether altered patterns of brain activation are seen across the dimensions of impulsivity, or in specific domains of impulsivity.

Despite these limitations, the results of multiple fMRI studies accessing components of impulsivity in BPD suggest that the OFC may be consistently under-activated relative to HCs during tasks that require inhibition or impulse control in this population. The OFC is a large brain area that encompasses parts of the ventrolateral and ventromedial frontal cortex and is involved in numerous neural circuits (e.g., reward, inhibitory control, motivation) with connections to many brain regions, including the amygdala, hippocampus, mPFC, insula, and striatal regions [165]. The OFC is understood to play an important role in motivation, learning, response inhibition, and decision-making. In particular, neuroimaging studies have found that reward valuation and reinforcers are encoded in the OFC, in a circuit involving the amygdala [166, 167]. Altered OFC activation has been implicated across impulsivity related disorders/behaviors, including substance use, ADHD, obesity, and pathological gambling [165, 168-170]. The findings from the studies included in the current review suggest that a similar circuitry is altered in BPD, highlighting the potential independence of the impulsivity domain (from emotion dysregulation) in BPD pathology. The findings from Silbersweig et al. [159], though, suggest that there may be important sub-divisions within the OFC that might differentially relate to impulsivity symptoms in BPD.

In addition, these studies find altered activation in regions that are known to be involved in the inhibitory control circuitry, such as the OFC, vIPFC, MFG, and ACC [171]. These cognitive control regions are implicated in response inhibition and performance monitoring. Additionally, this circuitry is understood to play an important role in emotion regulation

both through attention deployment and cognitive change [34]. As such, it is clear that regions involved in impulsivity and emotion regulation overlap. Further research is necessary to clarify the distinct and overlapping neural circuitry of these features of the disorder as a way of potentially determining their relatedness as symptom domains.

Emotion Dysregulation

At the core of Marsha Linehan's biosocial theory of BPD, which has gained increasingly more attention and focus as a model for the etiology and treatment of BPD, is the notion that BPD is a disorder of emotion dysregulation (ED) [52, 141, 172, 173]. ED is explicitly manifest in the clinical criteria in DSM-5: "Affective instability due to a marked reactivity of mood (e.g., intense episodic dysphoria, irritability, or anxiety) usually lasting a few hours and only rarely more than a few days" and "inappropriate, intense anger or difficulty controlling anger (e.g., frequent displays of temper, constant anger, recurrent physical fights)" [12]. Additionally, other symptoms such as suicidality and self-harm, as well as impulsivity (as discussed above) and unstable interpersonal relationships are understood to be highly related to ED.

The widespread acceptance of the centrality of ED is evident in the recent introduction of a research journal entitled *Borderline Personality Disorder and Emotion Dysregulation*. DBT, which has been developed, researched, and popularly accepted as the gold-standard treatment for BPD, operates from a biosocial framework in which ED is understood to be at the heart of the pathology [174]. In the biosocial theory, ED results from an interactive process between biological vulnerabilities and environmental influences, which are characterized as invalidating [141, 52]. This theory posits that emotion sensitivity, explored above, serves as a temperamental precursor, placing certain people at risk for the extreme ED associated with BPD pathology.

ED has been defined by Gratz and Roemer [140] as a multi-dimensional construct consisting of six aspects: 1) non-acceptance of emotion responses, 2) difficulties engaging in goal-directed behavior, 3) impulse control difficulties, 4) lack of emotional awareness, 5) limited access to emotion regulation strategies, and 6) lack of emotional clarity. Gratz and Tull [175] posit that ED refers to the failure to respond effectively to negative emotions, not the inherent experience of having negative emotions. The model does not assess the quality of the emotional experience (e.g., intensity), but rather the response to emotional experiences. Additionally, Gratz and Tull argue that ED does not refer to emotional vulnerability. That is, an individual can have intense or reactive emotional responses to stimuli, without being dysregulated. Research on ED and BPD has found evidence for particular aspects of the multidimensional construct (e.g., unwillingness to experience emotional distress in order to pursue goal directed behavior, failure to use regulation strategies, impulse control difficulties) to be meaningfully associated with BPD pathology [173, 176]. As can be seen from this framework, impulse control difficulties are understood to be a feature of ED.

Neural Networks, Emotion Dysregulation, and BPD

Though much has been written about the role of emotion dysregulation in the etiology, course, and treatment of BPD, far fewer studies have used fMRI to examine the neural correlates of emotion regulation and dysregulation in BPD. This may, in part, result from the difficulty of developing tasks that specifically tap into emotion regulation processes, in the absence of more basic processing (e.g., sensitivity and recognition) [103]. For the current review, we found that the studies exploring the neural correlates of emotion regulation in BPD used paradigms in which participants were instructed to apply emotion regulation strategies to modulate an emotional reaction to a given stimulus.

In line with the model of ED presented by Gratz and Roemer [140], Schulze and colleagues [110] theorized that emotional difficulties in individuals with BPD stem from dissociable components of emotional reactivity and an inability to engage regulatory control over reactivity. In order to measure the neural correlates of regulatory control, Schulze and colleagues used a cognitive reappraisal paradigm in a sample of individuals with BPD and HCs while they underwent fMRI. During the task, participants were instructed to notice their emotional reactions while looking at emotionally charged pictures. Next three types of instructions appeared on the screen: 1) subjects were instructed to increase their emotion to the picture (by imagining that they, or a close relative, was involved in the scene); 2) subjects were instructed to decrease their emotional reaction to the scene (by imagining that the situation was not real); 3) subjects were instructed to maintain their reaction to the image, without trying to modulate their emotions. Subjects then spent 8-seconds using the suggested cognitive reappraisal strategy (all of which had been taught during a previous training session).

During the initial viewing phase for the negative images, the researchers found group differences in amygdala and insula activation, where the individuals with BPD showed enhanced activation in these regions. These regions map onto those highlighted above, in the discussion of emotion sensitivity, as key for processing emotional stimuli. During the regulation phase of the task, they found no group differences in amygdala activation. They found that patients with BPD showed increased activation in the bilateral insula and decreased activation in the OFC during down-regulation of negative emotions but no group differences during the trials instructing participants to increase their negative emotions. The OFC has important connections mediating prefrontal regions (e.g., dlPFC and vlPFC) and sub-cortical, limbic regions, such as the amygdala and as such is known to play an important role in emotion regulation [177]. In studies of cognitive change, the OFC has been found to be activated by appraisal of emotional stimuli (through evaluating the emotional value of a stimuli and then selecting actions) [34, 178]. Failure to activate this region, in conjunction with increased activation in the amygdala and insula, are in line with bottom-up/top-down emotion dysregulation models of BPD, which suggest increased emotional salience and sensitivity, coupled with a

reduced capacity to activate prefrontal regions associated with cognitive control of emotional processes.

Using a similar task, Koenigsberg and colleagues [109] sought to assess neural correlates of emotion processing and emotion distancing, a cognitive reappraisal strategy in which the individual views a stimulus from the perspective of a detached observer. Participants were trained on the cognitive strategy of distancing, before the task began. The task was taken from previous studies examining down regulation of emotional processing [34]. During the task, participants looked at negative and neutral interpersonally based pictures and were instructed to either “suppress” their emotional reaction (and use the cognitive strategy of distancing) or to simply look at the images and respond naturally (with the instruction “maintain”). During the distancing condition, the HCs showed increased activation in the dACC and the bilateral intraparietal sulci (IPS). The BPD group showed greater activation in the STS, right superior frontal gyrus, and increased activation of the right amygdala relative to baseline and the passive looking condition, compared to the HCs.

The dACC plays an important role in appraisal of emotion and recent meta-analytic work has additionally highlighted the importance of this region in reappraisal of emotional stimuli [111]. Despite not finding behavioral differences between the groups in their affective ratings of the images during distancing, the fMRI results from Koenigsberg and colleagues [109] suggest that the HCs are nonetheless engaging brain regions known to be involved in reappraisal, a particularly effective regulatory strategy [179]. During distancing, the participants with BPD exhibited increased activation in brain regions associated with emotion generation and social cognition. These findings further the theory that ED in this population stems from hyperactivation in limbic regions coupled with reduced prefrontal activation in cognitive control regions. In this case, these findings emerge even when patients are specifically prompted to use regulatory strategies.

Given the prevalence of traumatic experiences in individuals with BPD, Lang and colleagues [180] conducted a study to determine the impact of traumatic experiences on cognitive reappraisal of emotion in BPD. They also examined differences in brain activation over the course of the task, as previous evidence has shown that activation in the PFC in healthy individuals during emotion regulation typically happens within the first seconds after the stimulus and then diminishes [181]. The researchers included three groups: non-traumatized women (HCs), trauma-exposed women without PTSD (non-PTSD), and trauma-exposed women (without PTSD) with BPD. Participants were read 6 scripts (three of which were negative, three were neutral) and were instructed to: increase (“enhance”) their emotional reaction by imagining themselves as the central figure in the story; decrease their emotional reaction by imagining the contents of the script from a detached, third-person perspective (“distancing”); or respond to each script without trying to alter their emotions (“maintain”).

Overall, Lang and colleagues [180] found increased early activations in the left ACC, left medial and right dorsomedial PFC, and posterior cingulate cortex in the HC group relative

to the BPD and non-PTSD groups during up-regulation and a trend toward greater ACC activation relative to the BPD group during the early stage of down-regulation. The participants with BPD, though, showed early deactivation in the ACC and left medial PFC, and right precuneus during up-regulation and in the left middle temporal cortex during the late interval. During down-regulation, the BPD participants showed increased activation in the putamen and right middle and superior temporal gyri during the early phase and in the left middle and temporal gyrus during the late phase. Overall the researchers concluded that most of the emotion regulation processes occurred (across all three groups) during the early phase of the task, in supporting the idea that emotion regulation happens rapidly and briefly [181]. Of note, the non-PTSD and BPD samples were very similar to one another relative to the HCs. The researchers suggest that brain neural differences may be non-specific to BPD, but indicative of trauma more generally. This conclusion highlights an important caveat for most of the fMRI and BPD literature. Namely, that many factors -- including traumatic experiences but also treatment history, medication history, other psychiatric comorbidity -- are difficult to control for within studies and even more difficult to account for across studies. As such, it is challenging to determine whether certain processes are specific to BPD, or to some other factor, such as trauma, which covaries with BPD.

Across these three studies, there is some evidence for limbic/SN hyperactivity and reduced activation in prefrontal regions, such as the ACC, OFC, mPFC, known to be involved in regulatory strategies. As such, these findings support the most ubiquitous neural theory of BPD, in which deficits are understood to stem from bottom-up hyperactivity in emotion processing regions and reduced activation in top-down control regions [33]. Given the prominent understanding of BPD as an emotion regulation disorder [182], the prevalence of this neural profile is unsurprising.

The studies of emotion regulation in BPD reported in the current project use fMRI paradigms in which the participants are instructed to use emotion regulation strategies and differences in neural activation are assessed. Other types of tasks will likely be useful in further elucidating the neural underpinnings of this important construct in BPD. Additionally, as has been noted, it is very difficult to parse out the different neural pathways associated with emotion processing and emotion regulation given both the conceptual and temporal overlap. Studies using operant training through real-time fMRI based neuro-feedback have shown that individuals can use volitional control to modulate brain reactivity in emotion regions in HCs [183]. Future studies should consider incorporating novel tasks, or diversifying the fMRI paradigms, in order to better characterize the specific neural underpinnings of ED in BPD and how these may help explain this prominent feature of the disorder.

Conclusions

So where does this leave us? To argue that the psychological processes and symptom domains in BPD are

discrete and unrelated to one another is patently not true. We know, based on common sense as well as a large body of research, that many of the processes of BPD are interrelated. It is readily apparent that an individual's sensitivity to emotion will likely impact their potential for emotion dysregulation, which certainly impacts their interpersonal relationships and their behavioral constraint. As has been highlighted, evidence suggests that emotion dysregulation may underlie behavioral impulsivity and interpersonal sensitivity [141, 134], yet we also find suggestive evidence that interpersonal sensitivity (and rejection sensitivity) may underlie many of the other BPD symptoms [38, 184]. The goal of this project, therefore, was not to determine, what is, once and for all the chicken and what is the egg in the BPD diagnosis, because that is a futile endeavor.

Yet we also know that there is a wide variation in the clinical presentation of BPD, which partially explains the growing unease with the categorical, polythetic diagnostic model. This helps account for the increasing research of, and enthusiasm for, alternative conceptualizations of the pathology, including exploring sub-types of the disorder or a dimensional model for diagnosis. While there is growing acceptance that clinical heterogeneity is a reality for BPD, less biological research has been brought to bear to support this claim. As a result, one of the primary goals of the current project was to review the task-based fMRI literature to determine if it could shed light on any of the nuances within the BPD diagnostic criteria. In doing so, we have identified specific brain regions and neural networks associated with symptom domains, in attempt to understand how neural differences across the domains can explain the differences in the clinical presentation.

But much as we know that the clinical symptoms of BPD do not occur independently, we also know that processes in the brain do not occur in isolation. Based on this review, it is plain to see that many of the same brain regions appear to play important roles in more than one symptom domain of BPD (e.g., the STS is altered in both ToM and emotion regulation tasks; OFC in emotion dysregulation and behavioral impulsivity). As we argue in this project, a network-based approach, focusing on the broader circuits at play such as the DMN, SN, and CEN is an important direction for BPD neuroimaging research and may help overcome the limitations of such a piecemeal literature. A few studies have already begun this work and find compelling evidence for the role that altered activation in these networks (measured using rs-fMRI, while the brain is not performing a specific task and is therefore considered at rest) plays in BPD pathology [72, 92, 185]. Additionally, we know that these neural regions and networks interplay in complex patterns in order to execute the processes associated with affect, cognition, and behavior and altered interactions between networks and regions likely underlies pathology. Preliminary research in BPD using connectivity approaches suggest that the complex observable pathology may be related to the interplay between neural regions and networks [29, 107, 126, 186].

Overall, a central goal of this project is to highlight the diversity of neural networks involved in a diverse set of psychological processes in BPD. In doing so, we move beyond

a simplistic story about bottom-up and top-down dysfunction. By moving away from this model, we gain a nuanced sense of why there is so much diversity in symptom presentation. Future research is going to require dissecting whether prominence in disengagement of the DMN, for example, predicts a different phenotypic expression relative to the over-engagement of the SN.

As reviewed earlier, there has been much discussion about the best way to conceptualize BPD. But this discussion has been occurring as part of a larger, ongoing conversation across the fields of psychiatry, psychology, and neuroscience about the potential value of taking a dimensional perspective to observable clinical phenomena. Recently, the National Institute of Mental Health (NIMH) moved away from the traditional DSM categories for studying psychopathology and now uses the Research Domain Criteria (RDoC) in order to structure the funding and implementation of psychopathology research. The central thrust of the RDoC approach is to better align psychopathological research with findings from clinical neuroscience and genetics [187, 188]. One of the main tenets of the RDoC is that both neurobiological and observable clinical phenomena exist on dimensions, which may cut across multiple disorders. Additionally, RDoC highlights the importance of neural circuits, above and beyond individual brain regions, that underlie complex patterns of behavior, and even more complex co-occurrences of multiple disorders [189].

The current project aims to utilize the dimensional framework advocated by RDoC to both the underlying neural substrates as well as the clinical presentation of BPD. We believe that approaching the BPD diagnosis from a more dimensional, systems approach, can better align the research with current RDoC literature. For example, recent works suggests that emotion dysregulation, a key aspect of BPD, may also be a useful transdiagnostic marker of pathology, amenable to inclusion within the RDoC matrix [190]. Evidence also suggests that the neural networks reviewed in the current project (e.g., the DMN, SN, and CEN) play an important role in other, related forms of psychopathology, including PTSD [191-193]. Moving the conversation on BPD toward more dimensional models (both psychiatric and neuroscientific) will facilitate the transfer of knowledge from the broader fields of psychology, psychiatry, and neuroscience and will bring this research to bear on, and better elucidate, BPD.

There are limitations in this review. Notably, the findings are limited by the types of fMRI tasks employed. For example, given the debate in the field about whether or not impulsivity and ED can be understood as distinct aspects of BPD, the fact that so many of the tasks tapping into aspects of impulsivity incorporate emotional elements makes it difficult to weigh in on this question using fMRI. Additionally, given the prominence of ED to many theoretical frameworks of BPD, the limited number and type of fMRI tasks used to directly assess neural processes associated with BPD suggests that much more research needs to be done on this. As such, we believe that there is a need not only for more fMRI literature on BPD, but also better streamlining of the research questions. For example, if the nature of impulsivity and ED in BPD is being questioned and measured psychometrically, more studies

using fMRI tasks appropriate for teasing this apart should be employed.

Additionally, even if it were entirely possible to disentangle related neural processes, it is near impossible to develop fMRI tasks that can truly access only specific elements of functioning. For example, as the studies reviewed show, it is hard to develop tasks to elicit brain activation associated with emotion regulation, without tapping into brain activation associated with emotion recognition and sensitivity – as these processes are ultimately happening nearly simultaneously. Current research modalities, using animal models and methods such as optogenetics, will be helpful for dissociating and better localizing aspects of these processes [194, 177]. Nonetheless, the lack of temporal resolution of fMRI continues to make this a challenging aspect of this research.

For the current project, we chose to examine the role that fMRI could play in elucidating the different neural underpinnings for the symptom domains of BPD. Future reviews should examine whether findings from other neuroimaging modalities (e.g., PET, MRI, EEG and resting-state fMRI [rs-fMRI]) support the conclusions drawn in the current project. Important work elucidating neural function and symptom domains of BPD has been done using PET. For example, a recent study found that regional brain metabolism in prefrontal regions was negatively correlated with self-reported hostility [28]. Similarly, using EEG, researchers have found that theta activity in individuals with BPD was correlated with dissociative experiences and pain ratings [195]. Future work would benefit from determining whether the findings converge across the neuroimaging modalities.

In the current project, we reviewed the research on task-based functional neuroimaging using fMRI in an effort to elucidate the neurobiological substrates underlying the symptom domains of BPD. Such an approach is particularly useful for a disorder like BPD, in which there is widely reported variation in the clinical presentation of the disorder. As a result, clarifying the neural systems underlying the varied aspects of the disorder can be helpful in explaining this clinical heterogeneity. We believe that findings are suggestive of altered activity in a set of neural networks (notably the DMN, SN, and CEN) and altered connectivity between networks (e.g., fronto-limbic connectivity, STS/TPJ and DMN connectivity) across the symptom domains. Future studies employing experimental designs with BPD patients, where the goal is to more fully investigate a neurobehavioral system such as one of the RDoC constructs at multiple levels of analysis, from network to symptom level, can help further clarify the BPD diagnosis as well as move BPD research more in line with the goals of the RDoC research matrix.

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